

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

*Only for new nonprovisional applications under  
37 C.F.R. 1.53(b)*

Attorney Docket No.

3660.1000-001

First Named Inventor or  
Application Identifier

William A. Pearlman

Express Mail Label No.

EV 215729533 US

Title of  
Invention

AN EMBEDDED AND EFFICIENT LOW-COMPLEXITY HIERARCHICAL IMAGE CODER  
AND CORRESPONDING METHODS THEREFOR

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages [ 34 ]**  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets [ 12 ]**  
☒ Fig. of the Drawings for Publication **[ 2 ]**  
☐ No Figure to be Published
4. ☐ Oath or Declaration **Total Pages [ ]**
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form
  - b. ☐ Paper Copy (identical to computer copy)  
[ ] Pages
  - c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee - William A. Pearlman  
 Niskayuna, New York
8. ☐ Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard
13. ☐ Small Entity Statement(s)
- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365
- 14b. ☐ Certified Copy of Priority Document(s)
15. ☐ Nonpublication Request (check parent application)
16. ☒ Other Remarks Letter

17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☒ Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.: 09/489,922

Prior application information: Examiner: J. L. Couso

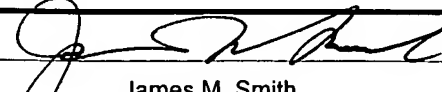
Group Art Unit: 2621

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.

(Add standard Related Applications section with incorporation by reference to specification or update same)

### 18. CORRESPONDENCE ADDRESS

|         |                                         |           |                |          |                |
|---------|-----------------------------------------|-----------|----------------|----------|----------------|
| NAME    | Customer No. 021005                     |           |                |          |                |
|         | HAMILTON, BROOK, SMITH & REYNOLDS, P.C. |           |                |          |                |
| ADDRESS | 530 Virginia Road, P.O. Box 9133        |           |                |          |                |
| CITY    | Concord                                 | STATE     | MA             | ZIP CODE | 01742-9133     |
| COUNTRY | USA                                     | TELEPHONE | (978) 341-0036 | FAX      | (978) 341-0136 |

|                                       |                                                                                     |             |         |
|---------------------------------------|-------------------------------------------------------------------------------------|-------------|---------|
| Signature                             |  | Date        | 12/29/5 |
| Submitted by<br>Typed or Printed Name | James M. Smith                                                                      | Reg. Number | 28,043  |

122903

22581 U.S. PTO  
10/747870

122903

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William A. Pearlman and Asad Islam

Continuation Application of

Application No.: 09/489,922

Filed: January 24, 2002

For: AN EMBEDDED AND EFFICIENT LOW-COMPLEXITY HIERARCHICAL  
IMAGE CODER AND CORRESPONDING METHODS THEREFOR

Date: 12/29/03

EXPRESS MAIL LABEL NO. EV215 729 533 US

REMARKS

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 09/489,922 filed on January 24, 2002 to which priority is claimed under 35 U.S.C. §120.

The specification of the present application is substantially the same as that of the parent application. A related applications paragraph has been added as the first paragraph in the specification. No new matter has been added.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

James M. Smith

Registration No. 28,043

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: 12/29/03